ADVICE SOLUTIONS LITIGATION

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LANDEGGER BARON LAW GROUP, ALC

Exclusively Representing Employers

ARE YOUR EMPLOYEES ACTING LIKE CHILDREN?

A Parenting Class For Employers

By

Alfred J. Landegger, Esq.

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Table of Contents

Master Company Employment Application	2
New Hire Checklist	6
Post-Hire Employee Data Sheet	7
Employee Handbook Table of Contents	8
Alcohol & Drug Policy	10
Orientation Period	12
Rules of Discipline (English)	13
Rules of Discipline (Spanish)	14
Record of Supervisory Discussion	15
Record of Disciplinary Action	16
Discrimination Allegation Event Reporting Form	17
Pre-Termination of Employment Consultation Form	22

EMPLOYMENT APPLICATION

GENERAL DATA

Last Name	First Name	Μ	liddle Name			
Have you ever used another na	me? <u>Yes</u> No					
If yes, please specify for purpos	ses of a reference check:					
Present Address	Number	Street	City	State	Zip Code	
Years at Above Address			Home Te	lephone Number		
			()			
Position Applying For						Date of Application
Full Time or Part Time			Shift or H	Iours Preferred		
Drivers License Number (if app	plicable)		Expiration	n Date		

If employed in the position for which you have applied, would you be in a supervisory or subordinate relationship to any relative of your household?

PERSONAL DATA

Person to notify in case of an Emerg	ency:		Name Home Teleph	one Number	
			()		
Present Address	Number	Street	City	State	Zip Code
How did you learn of this job opening? [] Advertisement	[] Friend	[] Walk-In		
[] Employment Agency	[] Relative	[] Other		
List membership in profess You may exclude any wh members.					onal origin, or ancestry of its

If under 18 years of age, can you after employment, submit a work permit? [] N/A [] Yes [] No

Have you ever been convicted of a crime (felony or misdemeanor) OTHER THAN (1) a marijuana-related conviction that occurred more than two years ago; and (2) an offense for which you were referred to, and participated in, any pre-trial or post-trial diversion program? [] Yes [] No

If yes, please state the date of conviction, the county and state, and the nature of the offense.

NOTE: An affirmative response to this question will not result in your automatic disqualification for employment.

SKILLS

Typing Speed (wpm):	Shorthand (wpm):		
Machines Operated:			
·			
Other Training/Skills (include bilingual ability if relevant to the position for which you	1 are applying:		
Branch of Military Service:		State Dates: From	То
State relative skills acquired during military service:			

PROFESSIONAL & TECHNICAL APPLICANTS ONLY

	Professional License Number:	Expiration Date:	Type of License:	State:
--	------------------------------	------------------	------------------	--------

Is there any reason why you would be unable to perform or to safely perform any of the duties of the position for which you have applied, as set forth on the job description for that position? [] Yes [] No

If "Yes," please explain:

EDUCATION

	HIGH SCHOOL	College	TRADE, PROFESSIONAL SCHOOL OR OTHER
Name			
Address			
Number of Years			
Course or Major			
Diploma/Degree			

WORK EXPERIENCE

Last/Present Employer	Length of Service (Dates)		Duties Performed
Address	Start	Leave	
Telephone Number(s)	1		
Supervisor's Name and Position	Hourly Rate	e/Salary	
Your Job Title	Starting	Final	
Reason For Leaving			
May we contact now? Yes No (If still employed)	-		
Employer	Length of S (Dates)	ervice	Duties Performed
Address	<u>Start</u>	Leave	
Telephone Number(s)			
Supervisor's Name and Position	Hourly Rate	e/Salary	
Your Job Title	Starting	Final	
Reason For Leaving			
May we contact now? Yes No (If still employed)			
Employer	Length of S (Dates)	ervice	Duties Performed
Address	<u>Start</u>	Leave	
Telephone Number(s)			
Supervisor's Name and Position	Hourly Rate	e/Salary	
Your Job Title	Starting	Final	
Reason For Leaving			
May we contact now? Yes No (If still employed)			

Signature of Applicant

I hereby certify that I have been informed of the duties, the hours and days of work of the position for which I am applying, and that the information on this application is correct and complete to the best of my knowledge.

I agree to have any of the statements checked by the Company unless I have indicated to the contrary. Further, I understand that falsification or omission of any material information on this application, if I receive a job offer may be considered sufficient cause for immediate termination. I agree that if employed, I will abide by all policies and procedures established by the employer.

I hereby acknowledge that my employment is "at-will," that I may resign at any time and the Company may terminate my employment at any time, with or without cause, and with or without notice, that any assurances of continued employment, whether written, oral or by conduct, shall not be interpreted as changing the nature of the employment relationship unless specifically acknowledged in writing by the President of the Company.

By:

Date

	FOR COMPANY USE	Only
Interviewed: []Yes[]No		
Remarks:		
Employed: [] Yes [] No		Starting Date:
Job Title:	Salary:	Dept:
By: Name and Title		Date

(Revised 11-16-07)

NEW HIRE CHECKLIST

The following items must be signed and contained in every new hire employee packet. If a document does not apply, please write N/A. Please initial that the document has been presented to the employee and signed.

Employment Application (completed, dated and signed by applicant)	(Optional)
Labor Code Section 2810.5 for New Hires	(Mandatory)
Post-Hire Employee Data Sheet	(Optional)
Federal Form W-4 - Employee Withholding Allowance	(Mandatory)
California State Form DE-4 Employees Withholding Allowance Certificate	(Mandatory)
I-9 Form - (completed by applicant and company representative)	(Mandatory)
Voluntary Information Form	(Optional)
Authorization to obtain Investigative Report	(Optional)
Notification of Request for Investigative Consumer Report	(Optional)
Workers' Compensation Benefits Statement and Physician Election Form (English and Spanish/Acknowledgment)	(Mandatory)
State Disability Insurance Booklet-DE 2515 (English/Spanish)	(Mandatory)
EDD For Your Benefit Booklet-DE 2320 (English)	(Mandatory)
Family Care and Medical Leave and Pregnancy Disability Leave (DFEH Notice B) (State)	(Optional)
Family and Medical Leave Act of 1993 (Federal)	(Optional)
California Paid Family Leave-DE2511 (English and Spanish)	(Mandatory)
Department of Fair Employment and Housing Sexual Harassment Pamphlet (English and Spanish)	(Mandatory)
Employee Relations Policy with Acknowledgment (English & Spanish)	(Mandatory)
Company's Drug and Alcohol Policy with Acknowledgment	(Optional)
Sample Meal and Rest Period Policy (After Brinker Decision)	(Optional)
Sample Timesheet	(Optional)
Employee Handbook (Company handbook)	(Optional)
Employee Statement Re: Acknowledgment of Receipt of Handbook	(Optional)
Insurance Premium Authorization	(Optional)
Unearned Vacation Agreement	(Optional)
Supplies/Uniform Cost Authorization	(Optional)
Acknowledgment of Receipt of Mandatory Documents	(Optional)

Date:

By:

Supervisor's Signature

Date:

Employee Signature

POST-HIRE EMPLOYEE DATA SHEET

Personal Information:					
Name:					
Address:					
Phone No.:					
Social Security No.:					
Name of Whom to Contact	t in Emergency:	(Name)			
		(Phone)			
The following informatio e.g., health benefits:	n may be obtain	ed if there is	s a busine	ess need for su	ch information,
Marital Status:			_		
Spouse's Name:			_ Date of	Birth:	
List of Dependents:					
Name	Relationship		SSN		Date of Birth
Date of Hire:				Rate of Pay:	
Benefits Arrangements:					
Vacation:				Sick Days	
Insurance:					
Review Date:					

TABLE OF CONTENTS

SECTION I - Introduction

SECTION II - Benefits for Employees

Vacations
Vacations Paid Holidays
Holiday and Vacation Eligibility
Religious Holidays
Sick/Personal Leave
Personal Leave Time [OPTIONAL]
Attendance Incentive [OPTIONAL]
Group Health Insurance
Continuation of Benefits
Retirement Plan
Additional Insurance Protection
Leaves of Absence
Pregnancy Disability Leave of Absence
Family and Medical Leave of Absence
Paid Family Leave Policy
Bereavement Leave [OPTIONAL]
Military Leaves
Jury Duty
Elections

SECTION III - Personnel Policies

Hours of Work
Overtime
Pay Day
Salary Advancements [OPTIONAL]
Time Cards
Payroll Deductions
Attendance
Employee Records
Advancements, Promotions and Transfers [OPTIONAL]
Outside Employment
Hiring of Relatives
Solicitation, Meetings on Company Premises
Personal Letters, Published Articles, Public Affairs
Use of Company Telephones
Use of Company Equipment
Company Property
Use of Company and/or Personal Vehicles
Business Expense Reimbursement
Travel Expenses
Health and Safety Regulations
Housekeeping
Bulletin Boards
Dress Code
Parking
No Smoking Rule
Telephone Conduct
Garnishment
Possession and Use of Drugs and Alcohol
Employee Assistance Program ("EAP")
Rules of Conduct For All Employees
Employee Dishonesty
Employee Discipline
Misunderstandings and Grievances [OPTIONAL]
Separations and Terminations
Recall [OPTIONAL]
Exit Interviews [OPTIONAL]
Dismissal or Severance Pay
Employment Verification Checks/Requests For Information

SECTION IV - Employee Statement

Employee Statement
Unearned Vacation Agreement

POSSESSION AND USE OF DRUGS AND ALCOHOL

The Company recognizes the employees' right to privacy; however, the Company is committed to providing a safe, efficient and productive work environment for all employees. In keeping with this commitment, employees and job applicants may be asked to undergo a drug/alcohol screening to determine the use of such substances. To further promote this goal, the Company will not tolerate the possession of or use of alcohol or drugs while on the Company's premises or time. Employees are required to report to work in appropriate mental and physical condition to perform their jobs in a satisfactory manner.

While on the Company's premises and while conducting Company related business activities which may occur off the Company's premises, no employee shall use, possess, distribute, sell or be under the influence of alcohol or drugs (except for the use of physician prescribed medication when the employee's supervisor has been advised of such prescribed use). The legal use of prescribed drugs is permitted on the job only if it does not impair an employee's ability to perform the essential functions of the job effectively and in a safe manner that does not endanger other individuals in the workplace.

Drug and alcohol tests may be conducted in any of the following situations:

POST OFFER: As a pre-qualification to assuming any position, prospective employees may be required to submit to a drug/alcohol test.

POST-ACCIDENT: Any current employee who is involved in an incident or accident while on duty, whether on or off the Company's premises, may be required to undergo a drug/alcohol test.

FITNESS FOR DUTY: This test may be required if significant and observable changes in an employee's performance, appearance, behavior, speech, etc. provides reasonable suspicion and probable cause to believe that the employee is under the influence of drugs or alcohol while on the Company's time or premises which could result in injury to the employee or fellow employees, or subject the Company to legal exposure, or public embarrassment, the Company may require the employee to submit to a drug test.

"Probable cause" shall exist when an employee's ability to perform their job duties is impaired. "Impaired" means that the employee's motor senses or judgment are or may be affected. Probable cause shall also exist if an employee is involved in either a job-related accident or violation of a safety rule or standard, which did or could have resulted in serious injury or property damage.

Positive results will result in discipline, including discharge. The employee may be offered the opportunity to participate in a rehabilitation program.

POSSESSION AND USE OF DRUGS AND ALCOHOL ACKNOWLEDGMENT FORM

I have read and received a copy of the Company's Possession and Use of Drugs and Alcohol policy and fully understand my obligations and responsibilities as outlined therein.

Signed:	_Date:
Witness:	_Date:

ORIENTATION PERIOD

The first ninety (90) days of employment is considered the "Orientation Period" where we can evaluate your job performance and attitude, and to determine your eligibility for a pay increase and for the position for which you were hired. There is no vacation time granted during the Orientation Period. Although a job performance evaluation generally follows the Orientation Period, this does not guarantee a salary review or increase at that time. Any employee whose employment terminates during this period shall not receive any pro-rated vacation benefits at the time of termination. The Company reserves the right to extend the "Orientation Period" for up to an additional three (3) months for any employee who the Company feels can be successful with some additional training, but who has not successfully completed the first 90-day Orientation Period. Employees may be terminated at any time during this period, with or without cause.

RULES OF DISCIPLINE

- 1. **FAIRNESS.** Ask yourself the following question: Is it fair to discipline this employee based upon the <u>quality and quantity</u> of the facts before you?
- 2. **CONSISTENCY.** In the past has the Company imposed the same discipline in similar situations?
- 3. **UNIFORMITY.** The Company has an employee handbook, so employees know what is to be expected of them and what the Market provides for them for benefits. As a supervisor you must promote the understanding of such policies to each employee.

Examples: Excessive absenteeism. Insubordination.

- 4. **HONESTY.** During discipline it is essential that you are candid and direct with the employee regarding performance and performance appraisals. Never tell any employee they are being laid off when performance is the real issue.
- 5. **BE OBJECTIVE.** To demonstrate validity and legality of actions.
- 6. **FOLLOW RULES 1, 2, 3, 4 and 5.** Be in a position to demonstrate all of the above. Imagine yourself in the witness chair. This is what you want to portray to the judge or the jury.

REGLAS DE DISCIPLINA

- **IMPARCIALIDAD.** Pregúntese a sí mismo la siguiente pregunta: ¿Es justo disciplinar a este empleado basado en <u>la calidad y la cantidad</u> de hechos que usted está considerando?
- **CONSISTENCIA.** ¿En el pasado, ha impuesto el Mercado la misma disciplina en situaciones similares?
- **UNIFORMIDAD.** El Mercado tiene un manual para empleados para que los empleados sepan lo que se espera de ellos y lo que el Mercado les ofrece en forma de beneficios. Como supervisor, usted debe promover el entendimiento de esas pólizas con cada empleado.

Ejemplos:	Faltas excesivas
	Insubordinación

HONESTIDAD. Durante la disciplina, es esencial que usted sea franco y directo con el empleado con respecto al rendimiento de trabajo del empleado y la evaluación de ese rendimiento. Nunca le diga a un empleado que se le esta dando "lay off," cuando la verdadera razón es la calidad o el rendimiento de trabajo del empleado.

SEA OBJETIVO. Para demostrar validez y legalidad de acción.

SIGA LAS REGLAS 1, 2, 3, 4 y 5. Póngase en la posición de poder demostrar todas las reglas de arriba. Imagínese que usted esta atestiguando. Estas reglas es lo que usted quiere poder demostrar a un juez o a un jurado.

Record of Supervisory Discussion

Employee Name		Position	Dept	
Supervisor		Date of occurren	nce	
Describe the problem:				
Frequency of the problem:	□ 1st time	□ 2nd time	\Box 3rd time \Box more than 3	3 times
Describe employee's respons	e:			
			7	
Action taken: 🛛 Discussion	only $\Box V$	erbal reprimand	Written reprimand	
☐ Suspension:	day	s [Discharge	
Explain reason for action tal	ken:			
Further action(s) of a similar na termination of employment.	ture will result	in additional discip	linary action up to and includin	g
Supervisor's signature			Date	
2 nd level Supervisor's signature			Date	
I have read and received a copy	of this memo.			
Employee's signature			Date	•••••

Date

Record of Disciplinary Action	Record	of Disc	iplinary	Action
--------------------------------------	--------	---------	----------	--------

Employee Name	Position	Dept.
Supervisor	Date of occurrence	
Describe the problem:		
<i>Frequency of the problem: Ist time</i>	☐ 2nd time ☐ 3rd time	<i>•</i> More than 3 times
Describe employee's response:		
Action taken: \square Written reprimand	□ Suspension:	days 🛛 Discharge
Explain reason for action taken:		

Further action(s) of a similar nature will result in additional disciplinary action up to and including termination of employment.

Supervisor's signature

2nd Level Supervisor's signature

I have read and received a copy of this memo.

Employee's signature

Date

Date

PROTECTED BY THE ATTORNEY-CLIENT PRIVILEGE

DISCRIMINATION ALLEGATION/EVENT REPORTING FORM

TO:	Alfred J. Landegger, Esq.
FAX NO.	(818) 986-5147
COMPANY:	LANDEGGER BARON LAW GROUP
FROM:	
DATE:	
CC:	

This form must be completed by the Branch Manager, Supervisor or by the corporate Human Resource Department no later than the next business day after any allegation of harassment or discrimination in the workplace.

1 Please complete the attached First Report of Event or Circumstance. When interviewing the employee, do not feel compelled to ask the questions in the same order. Feel free to ask additional questions. Always be a good listener and take accurate notes. Never label the behavior, statement or allegation as "sexual harassment" or "discrimination". Document the words used by employee. No further investigation is to take place until instructed to do so by ______ and Landegger Baron Law Group;

2 Attach a copy of the personnel file of the employee making the allegation of harassment or discrimination; and

3 Attach the personnel file of the employee(s) against whom the allegation of harassment or discrimination has been made.

If you have any questions concerning this Discrimination Allegation/Event Reporting Form, or the attached First Report of Event or Circumstance, please contact Alfred J. Landegger, Esq. at (818) 986-7561.

FIRST REPORT OF EVENT OR CIRCUMSTANCE

Date:	
1.	Name of Employee Being Interviewed:
2.	Name of Interviewer:
3.	Name Facility or Location:
4.	Tell Me What Happened:
5.	Who was involved?
6.	When did the incident(s) happen?
7.	Where did the incident(s) happen?
8.	Were there any other incident(s)?
9.	How did this incident make you feel?
10.	Was your work affected?
11.	Was this the first time this had happened?

FIRST REPORT OF EVENT OR CIRCUMSTANCE Page 3

12. Were there any previous incidents of inappropriate behavior?_____

13. Have you kept any records, such as written notes, tape recordings or anything else?

(If so, please attach.)

14.	Do you know of any other employee(s) who had similar experiences?
	Who:
	When:
15.	Have you discussed this with anyone at work?
	Who:
	When:
16.	Have you discussed this with anyone outside of work?
	Who:
	When:
17.	Did you participate in the incident?

FIRST REPORT OF EVENT OR CIRCUMSTANCE Page 4

18.	If yes, tell me how you participated:
19.	How would you describe your relationship with the employee about whom you are complaining?
20.	Are there any other issues we should discuss?
21.	Were any other facts or other information that you think I should know?
22.	Who do you think I should talk to?

FIRST REPORT OF EVENT OR CIRCUMSTANCE Page 5

23. Do you have any suggestions as to how best to resolve the situation?

Type/Print Name of Person Conducting Interview Signature of Person Conducting Interview

Date:_____

PRE-TERMINATION OF EMPLOYMENT CONSULTATION FORM

- To: LANDEGGER BARON LAW GROUP A Law Corporation 15760 Ventura Boulevard Suite 1200 Encino, California 91436 (818) 986-7561 www.landeggeresq.com
- Send: By Facsimile to (818) 986-5147 or By e-mail to <u>alfred@landeggeresq.com</u>

From:	Name of Company:	
	Contact Person:	
	Phone Number:	
	Address:	

Date: _____

This communication, including all attachments, is protected by the attorney client privilege and/or work product doctrine. The purpose of this communication is to seek a preliminary legal opinion concerning the potential termination of an employee.

PRE-TERMINATION OF EMPLOYMENT CONSULTATION FORM

Name of Employee:	
Date of Hire:	
Current Position:	
Rate of Pay:	
State in detail the reason that you wish to terminate this e	mployee:
Do you think that this Employee is likely to file a legal cl	aim? If so, why?
Is the employee in any protected class? Please identify ea and provide as much detail as is available.	
and provide as much detail as is available. Age (over 40):	
and provide as much detail as is available. Age (over 40): Race:	
and provide as much detail as is available. Age (over 40): Race: Color:	
and provide as much detail as is available. Age (over 40): Race:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin: Religion:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin: Religion: Sex:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin: Religion: Sex: Physical or Mental Disability:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin: Religion: Sex: Physical or Mental Disability: HIV/AIDS:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin: Religion: Sex: Physical or Mental Disability: HIV/AIDS: Sexual Orientation:	
and provide as much detail as is available. Age (over 40): Race: Color: National Origin: Religion: Sex: Physical or Mental Disability: HIV/AIDS: Sexual Orientation: Gender Identity:	

PRE-TERMINATION OF EMPLOYMENT CONSULTATION FORM

8. Has the employee reported a work-related injury or have a record of a Workers Compensation claim?

No: Yes: If yes, please attach available documents including DWC 1 Forms and doctor's notes. 9. Has the employee requested or taken time off for medical reasons, military, Pregnancy Disability Leave or Family and Medical Care Leave? Yes: No: If yes, please attach available documents including LOA forms and doctor's notes. 10. Has the employee received prior verbal or written counseling? *If so, please attach.* No: Yes: 11. Has the employee violated a written rule or policy contained in your employee handbook? If so, please attach a copy of the policy. Yes: No: 12. Do you have an oral or written agreement with the employee concerning any term or condition of employment? Yes: No: Do you have a formal or informal progressive discipline policy? 13. If so, please attach or describe. Yes: No: 14. Are there any additional facts or factors that are relevant to this proposed termination? Yes: No: _____ Please state the additional facts or factors. Have you considered a Final Written Warning, demotion, transfer or further 15. training? If not, why? _____

PRE-TERMINATION OF EMPLOYMENT CONSULTATION FORM

16. What type of discipline has been imposed on other employees involved in similar circumstance(s)?

I agree to the above terms and conditions and verify all of the above information is accurate and complete.

[Signature of person sending form]